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| 10/629,049      | 07/28/2003  | Young-Joon Choi      | 4591-343            | 5961             |

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| EXAMINER |
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RAHMAN, FAHMIDA

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| ART UNIT | PAPER NUMBER |
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2116

DATE MAILED: 06/19/2006

Please find below and/or attached an Office communication concerning this application or proceeding.

**Office Action Summary**

Application No.

10/629,049

Applicant(s)

CHOI ET AL.

Examiner

Fahmida Rahman

Art Unit

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-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

- 1) ☒ Responsive to communication(s) filed on 3/29/2006.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

- 4) ☒ Claim(s) 1-12 and 14-18 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-4 and 7-10 is/are rejected.
- 7) ☒ Claim(s) 5, 6, 11 and 12 is/are objected to.
- 8) ☒ Claim(s) 14-18 are subject to restriction and/or election requirement.

**Application Papers**

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 29 March 2006 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.
- Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
- Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

**Priority under 35 U.S.C. § 119**

- 12) ☒ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☒ All b) ☐ Some \* c) ☐ None of:
1. ☒ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

**Attachment(s)**

- 1) ☒ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☐ Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)  
Paper No(s)/Mail Date \_\_\_\_\_
- 4) ☐ Interview Summary (PTO-413)  
Paper No(s)/Mail Date. \_\_\_\_\_
- 5) ☐ Notice of Informal Patent Application (PTO-152)
- 6) ☐ Other: \_\_\_\_\_

### **DETAILED ACTION**

1. It is hereby acknowledged that the following papers have been received and placed of record in the file: Amendment dated 3/29/2006.
2. Claims 1-12, 14-18 are presented for examination.

### **Response to Arguments**

Applicant's arguments filed on 3/29/2006 with respect to the rejections of claims 1-18 have been fully considered. In light of the clarification of the claims through Applicant's arguments, the following restrictions are required. Applicant's arguments filed on 3/29/2006 with respect to the rejections of claims 1-12 have been fully considered, but are moot in view of new grounds of rejections.

### **Election/Restrictions**

Restriction to one of the following inventions is required under 35 U.S.C. 121:

- I. Claims 1-12, drawn to a system comprising boot code, classified in class 713, subclass 2.
- II. Claim 14-18, drawn to performing a memory access operation, classified in class 711, subclass 170.

Inventions group 1 and group 2 are related as subcombinations disclosed as usable together in a single combination. The subcombinations are distinct if they do not overlap in scope and are not obvious variants, and if it is shown that at least one

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subcombination is separately usable. In the instant case, subcombination group 2 has separate utility such as reading and writing selected pages from memory during various time frames. See MPEP § 806.05(d).

Because these inventions are independent or distinct for the reasons given above and have acquired a separate status in the art in view of their different classification, restriction for examination purposes as indicated is proper.

During a telephone conversation with Todd Iverson on June 1<sup>st</sup>, 2006, a provisional election was made without traverse to prosecute the invention of group 1, claims 1-12. Affirmation of this election must be made by applicant in replying to this Office action. Claims 14-18 are withdrawn from further consideration by the examiner, 37 CFR 1.142(b), as being drawn to a non-elected invention.

### **Claim Rejections - 35 USC § 103**

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

Claims 1, 7 are rejected under 35 U.S.C. 103(a) as being unpatentable over applicant admission of prior art, in view of Aizawa (US Patent Application Publication 2002/0039325).

For claim 1, applicant admitted in pages 1-2 that the following limitations are cited in prior art:

A computer system (Fig 1) comprising:

- a system controller (1 in Fig 1) including a central processing unit (5 in Fig 1) and a memory bus controller(7 in Fig 1) and configured to operate in a first interface mode;
- a system memory (3 in Fig 1) connected with the system controller (1) through the system bus (2 in Fig 1);
- a NAND flash memory (4 in Fig 1) configured to store a system driving code ("boot code" in lines 32-33 of page 1 in specification; "BS" in 9 of Fig 1), an operating system program ("OS" in 9 of Fig 1), and user data for the computer system ("UD" in 9 of Fig 1);
- and an interface unit (8 in Fig 1) configured to communicate with the system controller through the system bus in the first interface mode (controller 8 is configured to communicate with 1 through 2) and configured to communicate with the NAND flash memory in a second interface mode (8 is configured to operate with 9).

The following limitations are not explicitly mentioned in the applicant's admitted prior art:

- the interface unit being synchronized with a clock signal generated in response to predetermined command information.

However, the interface 8 must be synchronized with a clock signal, since the processing unit 1 is clock driven. The generation of clock signal needs to be associated with a predetermined command information. Thus, the limitation that the interface unit being synchronized with a clock signal generated in response to predetermined command information is inherent in AAPA.

In addition, Aizawa explicitly teaches the following limitations:

An interface unit (203) configured to communicate with the system controller (202) through the system bus (bus is shown as a vertical line between 203 and 202) in the first interface mode (the first interface mode is the mode where MPU communicates with 203) and configured to communicate with the flash memory (112) in a second interface mode (second interface mode is the mode where 203 communicates with 112) where an interface unit (203) is synchronized with a clock signal (CLK1) generated in response to predetermined command information (Q-OFF, CLK\_ON, S\_OFF).

It would have been obvious to one ordinary skill in the art at the time the invention was made to combine the teachings of applicant's admission of prior art and Aizawa. One ordinary skill in the art would have been motivated to have a clock signal generated in response to predetermined command information, since it is not necessary to provide a continuous clock in the interface unit. The interface can be clocked only when it is

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accessed by the computer system and a significant power saving can be achieved by stopping the clock ([0007] in page 1 of Aizawa), since power consumption is related to clock speed.

For claim 7, Aizawa teaches the computer system with following limitations:

The interface unit comprises:

- a first interface unit configured to communicate with the system controller through the system bus in the first interface mode (203 interfaces with 202 through system bus. Thus, it must have a first interface unit configured to communicate with the system controller 202);
- a second interface unit synchronized with the clock signal and configured to communicate with the flash memory in the second interface mode (203 comprises a second interface unit that communicates with 112 and 203 is synchronized with CLK1);
- a storage unit configured to store information and data exchanged between the first and second interface units (203 comprises clock signal, which means that 203 has a storage unit to store the necessary information and data) ;
- and a control unit synchronized with the clock signal and configured to control a transmission of the information and data between the first and second interface units (203 must control the interface between 202 and 112. Thus, it must comprise a control unit).

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Aizawa does not teach that the flash memory is a NAND flash memory. However, AAPA teaches the NAND flash memory.

Claims 2 and 8 are rejected under 35 U.S.C. 103(a) as being unpatentable over applicant admission of prior art, in view of Aizawa (US Patent Application Publication 2002/0039325), further in view of Sassa (US Patent 6098077).

For claim 2, Aizawa's clock signal (CLK1) is generated from an oscillator (401) and a state machine controller (311) controls the inner operation of an IO interface (201). However, neither Aizawa nor AAPA provides the description of interface unit.

Sassa teaches a system wherein the interface unit (21 in Fig 2) comprises:

- a host interface unit (31) configured to communicate with the system controller (17) through the system bus (16) in the first interface mode (first interface mode is in between CPU and 21);
- a register unit (36) configured to store configuration information about the computer system, the NAND flash memory, and the command information;
- a buffer unit (32) for configured to store data of the NAND flash memory (22);
- an oscillator (37) configured to generate a clock signal to synchronize the interface unit ;
- a controller (33) synchronized with the clock signal and configured to control an inner operation of the interface unit in response to the command information ; and



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- a NAND flash interface unit (21) synchronized with the clock signal and configured to communicate with the NAND flash memory (22) via the controller (33) in the second interface mode (second interface mode is in between 21 and 22).

It would have been obvious to one ordinary skill in the art at the time the invention was made to modify the combined teachings of applicant's admission of prior art and Aizawa in accordance with Sassa, since a NAND flash memory can't be accessed without proper interface unit. One ordinary skill in the art would have been motivated to have an interface unit as taught by Sassa, since the interface ensures reliable operation of NAND flash.

For claim 8, neither Aizawa nor AAPA provides the description of storage unit. Sassa teaches a system wherein the interface unit (21 in Fig 2) comprises:

- a register unit (36) configured to store configuration information about the computer system, the NAND flash memory, and the command information;
- a buffer unit (32) for configured to store data of the NAND flash memory (22);
- an oscillator (37) configured to generate a clock signal to synchronize the interface unit ;

Claims 3, 4 are rejected under 35 U.S.C. 103(a) as being unpatentable over applicant admission of prior art, in view of Aizawa (US Patent Application Publication

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2002/0039325), further in view of Sassa (US Patent 6098077), further in view of Gibson et al (US Patent 6601167).

Applicant's admission of prior art, as modified by Aizawa and Sassa does not teach that the interface unit comprises a power up detector to apply a power-sensing signal.

Gibson et al teach a system comprising power up detector (30) to generate power good signal as shown in Fig 6.

It would have been obvious to one ordinary skill in the art at the time the invention was made to combine the teachings of applicant's admission of prior art, Kim and Gibson et al. One ordinary skill in the art would have been motivated to include power up detector, since boot data within flash memory should be loaded when the power supply generates proper operating voltages. The power up detector ensures that the power supply reaches appropriate voltage, which in turn ensures safe loading of boot code.

For claim 4, 34 of Sassa is the ECC.

Claims 9, 10 are rejected under 35 U.S.C. 103(a) as being unpatentable over applicant admission of prior art, in view of Aizawa (US Patent Application Publication 2002/0039325), further in view of Gibson et al (US Patent 6601167).

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For claim 9, Applicant's admission of prior art, as modified by Aizawa and Sassa does not teach that the interface unit comprises a power up detector to apply a power-sensing signal.

Gibson et al teach a system comprising power up detector (30) to generate power good signal as shown in Fig 6.

It would have been obvious to one ordinary skill in the art at the time the invention was made to combine the teachings of applicant's admission of prior art, Kim and Gibson et al. One ordinary skill in the art would have been motivated to include power up detector, since boot data within flash memory should be loaded when the power supply generates proper operating voltages. The power up detector ensures that the power supply reaches appropriate voltage, which in turn ensures safe loading of boot code.

For claim 10, 34 of Sassa is an ECC.

#### **Allowable Subject Matter**

Claims 5-6 and 11-12 would be allowable if rewritten to include all of the limitations of the base claim and any intervening claims.


### Conclusion

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Fahmida Rahman whose telephone number is 571-272-8159. The examiner can normally be reached on Monday through Friday 8:30 - 5:30.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Lynne Browne can be reached on 571-272-3670. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Fahmida Rahman  
Examiner  
Art Unit 2116

  
JAMES TRUWILLO  
PATENT EXAMINER  
TC 2100